| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | of resolu tion | Rationale for E&S resolutions and/or comments |
|--------------|-------------|--------------|--------------------|--------------|------------|---|--------------------|--|---------------------|----------------------|---|
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Accept Financial Statements and Statutory Reports | 1 | Accept Financial Statements and Statutory Reports | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 2 | Approve Remuneration Report | Against | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Approve Allocation of Income and Dividends | 3 | Approve Allocation of Income and Dividends of USD 0.365 per Share | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Approve Discharge of Management Board | 4 | Approve Discharge of Board and Senior Management for Fiscal 2019, excluding French Cross-Border Matter | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.1 | Reelect Axel Weber as Director and Board Chairman | Against | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.2 | Reelect Jeremy Anderson as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.3 | Reelect William Dudley as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.4 | Reelect Reto Francioni as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.5 | Reelect Fred Hu as Director | Against | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.6 | Reelect Julie Richardson as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.7 | Reelect Beatrice di Mauro as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.8 | Reelect Dieter Wemmer as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 5.9 | Reelect Jeanette Wong as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 6.1 | Elect Mark Hughes as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Director | 6.2 | Elect Nathalie Rachou as Director | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | | Management | Elect Member of Remuneration Committee | 7.1 | Appoint Julie Richardson as Member of the Compensation Committee | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Member of Remuneration Committee | 7.2 | Appoint Reto Francioni as Member of the Compensation Committee | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Member of Remuneration Committee | 7.3 | Appoint Dieter Wemmer as Member of the Compensation Committee | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Elect Member of Remuneration Committee | 7.4 | Appoint Jeanette Wong as Member of the Compensation Committee | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Approve Remuneration of Directors and/or Committee Members | 8.1 | Approve Remuneration of Directors in the Amount of CHF 13 Million | Against | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Approve Remuneration of Executive Directors and/or Non-Executive Directors | 8.2 | Approve Variable Remuneration of Executive Committee in the Amount of CHF 70.3 Million | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Approve Remuneration of Executive Directors and/or Non-Executive Directors | 8.3 | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 33 Million | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Designate X as Independent Proxy | 9 | Designate ADB Altorfer Duss & Beilstein AG as Independent Proxy | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | | Management | Ratify Auditors | 10 | Ratify Ernst & Young AG as Auditors | For | G | |
| UBS Group AG | Switzerland | CH0244767585 | Annual | 29-Apr-20 | Management | Other Business | 11 | Transact Other Business (Voting) | Against | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Receive Financial Statements and Statutory Reports (Non-Voting) | 1 | Receive Directors' Reports (Non-Voting) | | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Receive Financial Statements and Statutory Reports (Non-Voting) | 2 | Receive Auditors' Reports (Non-Voting) | | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Receive Consolidated Financial Statements and Statutory Reports (Non-Voting) | 3 | Receive Consolidated Financial Statements and Statutory Reports (Non-Voting) | | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Financial Statements, Allocation of Income, and Discharge Directors | 4 | Approve Financial Statements, Allocation of Income, and Dividends of EUR 1.24 per Share | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 5 | Approve Remuneration Report | Against | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Remuneration Policy | 6 | Approve Remuneration Policy | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Discharge of Board and President | 7 | Approve Discharge of Directors | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Discharge of Auditors | 8 | Approve Discharge of Auditors | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Elect Director | 9.1A | Reelect Pierre Gurdjian as Director | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Indicate X as Independent Board Member | 9.1B | Indicate Pierre Gurdjian as Independent Director | For | G | |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | of resolu tion | Rationale for E&S resolutions and/or comments |
|---------------------------|-------------|--------------|--------------------|--------------|------------|--|--------------------|---|---------------------|----------------------|---|
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Elect Director | 9.2A | Reelect Ulf Wiinberg as Director | Against | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Indicate X as Independent Board Member | 9.2B | Indicate Ulf Wiinberg as Independent Director | Against | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Elect Director | 9.3 | Reelect Charles-Antoine Janssen as Director | Against | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Restricted Stock Plan | 10 | Approve Long-Term Incentive Plan | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Change-of-Control Clause | 11.1 | Approve Change-of-Control Clause Re: Renewal of EMTN Program | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Change-of-Control Clause | 11.2 | Approve Change-of-Control Clause Re: Term Facility Agreement | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Change-of-Control Clause | 11.3 | Approve Change-of-Control Clause Re: Revolving Facility Agreement | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Amend Articles/Bylaws/Charter Non-Routine | 1 | Amend Articles Re: New Belgian Code of Companies and Associations | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Receive/Approve Special Report | 2 | Receive Special Board Report Re: Renewal of Authorized Capital | | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | 3 | Renew Authorization to Increase Share Capital within the Framework of Authorized Capital | For | G | |
| UCB SA | Belgium | BE0003739530 | Annual/Sp ecial | 30-Apr-20 | Management | Authorize Share Repurchase Program | 4 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Receive Financial Statements and Statutory Reports (Non-Voting) | 1 | Receive Directors' and Auditors' Reports (Non-Voting) | | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 2 | Approve Remuneration Report | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Remuneration Policy | 3 | Approve Remuneration Policy | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Financial Statements, Allocation of Income, and Discharge Directors | 4 | Approve Financial Statements, Allocation of Income, and Dividends of EUR 0.375 per Share | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Receive Consolidated Financial Statements and Statutory Reports (Non-Voting) | 5 | Receive Consolidated Financial Statements and Statutory Reports (Non-Voting) | | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Discharge of Board and President | 6 | Approve Discharge of Directors | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Discharge of Auditors | 7 | Approve Discharge of Auditors | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Elect Director | 8.1 | Reelect Ines Kolmsee as Independent Director | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Elect Director | 8.2 | Reelect Liat Ben-Zur as Independent Director | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Elect Director | 8.3 | Elect Mario Armero as Director | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Remuneration of Directors and/or Committee Members | 9 | Approve Remuneration of Directors | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Auditors and Authorize Board to Fix Their Remuneration Auditors | 10 | Ratify PricewaterhouseCoopers as Auditor and Approve Auditors' Remuneration | Against | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Approve Change-of-Control Clause | 1 | Approve Change-of-Control Clause Re: Note Purchase Agreement | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Amend Articles/Bylaws/Charter Non-Routine | 1 | Amend Articles Re: Alignment on the Rules of Code on Companies and Associations | For | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Elect Supervisory Board Members (Bundled) | 2 | Elect Supervisory Board Members | Against | G | |
| Umicore | Belgium | BE0974320526 | Annual/Sp ecial | 30-Apr-20 | Management | Authorize Board to Ratify and Execute Approved Resolutions | 3 | Authorize Implementation of Approved Resolutions, Coordination of Articles of Association, and Filing of Required Documents/Formalities at Trade Registry | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 | Management | Accept Financial Statements and Statutory Reports | 1 | Approve Financial Statements and Statutory Reports | For | G | |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | of resolu | Rationale for E&S resolutions and/or comments |
|---------------------------|-------------|--------------|--------------------|------------------------|--|--------------------|---|---------------------|--------------|---|
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Accept Consolidated Financial Statements and Statutory Reports | 2 | Approve Consolidated Financial Statements and Statutory Reports | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Allocation of Income and Dividends | 3 | Approve Allocation of Income and Dividends of EUR 5.40 per Share | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Special Auditors' Report Regarding Related-Party Transactions | 4 | Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 5 | Approve Compensation of Christophe Cuvillier, Chairman of the Management Board | Against | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 6 | Approve Compensation of Jaap Tonckens, Member of the Management Board | Against | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 7 | Approve Compensation of Colin Dyer, Chairman of the Supervisory Board | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 8 | Approve Compensation Report of Corporate Officers | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Remuneration Policy | 9 | Approve Remuneration Policy for Chairman of the Management Board | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Remuneration Policy | 10 | Approve Remuneration Policy for Management Board Members | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Remuneration Policy | 11 | Approve Remuneration Policy for Supervisory Board Members | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Elect Supervisory Board Member | 12 | Reelect Colin Dyer as Supervisory Board Member | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Elect Supervisory Board Member | 13 | Reelect Philippe Collombel as Supervisory Board Member | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Elect Supervisory Board Member | 14 | Reelect Dagmar Kollmann as Supervisory Board Member | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Elect Supervisory Board Member | 15 | Reelect Roderick Munsters as Supervisory Board Member | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Authorize Share Repurchase Program | 16 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Reduction in Share Capital | 17 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights | 18 | Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 100 Million | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | 19 | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 60 Million | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Authorize Board to Increase Capital in the Event of Demand Exceeding Amounts Submitted to Shareholder Vote Above | 20 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above Under Items 18-19 | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Authorize Capital Increase of up to 10 Percent of Issued Capital for Future Acquisitions | 21 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Approve Qualified Employee Stock Purchase Plan | 22 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans | For | G | |
| Unibail-Rodamco-Westfield | Netherlands | FR0013326246 | Annual/Sp ecial | 15-May-20 Management | Authorize Filing of Required Documents/Other Formalities | 23 | Authorize Filing of Required Documents/Other Formalities | For | G | |
| Unicharm Corp. | Japan | JP3951600000 | Annual | 25-Mar-20 Management | Elect Director | 1.1 | Elect Director Takahara, Takahisa | For | G | |
| Unicharm Corp. | Japan | JP3951600000 | Annual | 25-Mar-20 Management | Elect Director | 1.2 | Elect Director Ishikawa, Eiji | For | G | |
| Unicharm Corp. | Japan | JP3951600000 | Annual | 25-Mar-20 Management | Elect Director | 1.3 | Elect Director Mori, Shinji | For | G | |
| Unicharm Corp. | Japan | JP3951600000 | Annual | 25-Mar-20 Management | Approve Restricted Stock Plan | 2 | Approve Restricted Stock Plan | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 Management | Accept Financial Statements and Statutory Reports | 1 | Accept Financial Statements and Statutory Reports | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 Management | Approve Allocation of Income and Dividends | 2 | Approve Allocation of Income | For | G | |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | of resolu tion | Rationale for E&S resolutions and/or comments |
|---------------|-------------|--------------|--------------------|--------------|------------|---|--------------------|---|---------------------|----------------------|---|
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Special/Interim Dividends | 3 | Approve Dividend Distribution | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Standard Accounting Transfers | 4 | Approve Elimination of Negative Reserves | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Decrease in Size of Board | 5.1 | Approve Decrease in Size of Board | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Elect Director | 5.2 | Elect Beatriz Lara Bartolome as Director | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Elect Director | 5.3 | Elect Diego De Giorgi as Director | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Auditors and Authorize Board to Fix Their Remuneration Auditors | 6 | Approve Auditors and Authorize Board to Fix Their Remuneration | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve/Amend Executive Incentive Bonus Plan | 7 | Approve 2020 Group Incentive System | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Remuneration Policy | 8 | Approve Remuneration Policy | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 9 | Approve Second Section of the Remuneration Report | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Restricted Stock Plan | 10 | Approve Long-Term Incentive Plan | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Authorize Share Repurchase Program | 11 | Authorize Share Repurchase Program | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Equity Plan Financing | 1 | Authorize Board to Increase Capital to Service 2019 Group Incentive System | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Approve Equity Plan Financing | 2 | Authorize Board to Increase Capital to Service 2020 Group Incentive System | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Amend Articles/Charter to Reflect Changes in Capital | 3 | Amend Company Bylaws Re: Clause 6 | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Authorize Share Repurchase Program and Cancellation of Repurchased Shares | 4 | Authorize Cancellation of Repurchased Shares | For | G | |
| UniCredit SpA | Italy | IT0005239360 | Annual/Sp ecial | 9-Apr-20 | Management | Deliberations on Possible Legal Action Against Directors/(Internal) Auditors | Α | Deliberations on Possible Legal Action Against Directors if Presented by Shareholders | Against | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Receive Financial Statements and Statutory Reports (Non-Voting) | 1 | Discussion of the Annual Report and Accounts for the 2019 Financial Year | | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Approve Financial Statements, Allocation of Income, and Discharge Directors | 2 | Approve Financial Statements and Allocation of Income | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 3 | Approve Remuneration Report | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Approve Discharge of Board and President | 4 | Approve Discharge of Executive Directors | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Approve Discharge of Board and President | 5 | Approve Discharge of Non-Executive Directors | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Elect Director | 6 | Reelect N Andersen as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Elect Director | 7 | Reelect L Cha as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Elect Director | 8 | Reelect V Colao as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Elect Director | 9 | Reelect J Hartmann as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 10 | Reelect A Jope as Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 11 | Reelect A Jung as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 12 | Reelect S Kilsby as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 13 | Reelect S Masiyiwa as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 14 | Reelect Y Moon as Non-Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 15 | Reelect G Pitkethly as Executive Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 16 | Reelect J Rishton as Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Elect Director | 17 | Reelect F Sijbesma as Director | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Ratify Auditors | 18 | Ratify KPMG as Auditors | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | | Management | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | 19 | Grant Board Authority to Issue Shares | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Eliminate Preemptive Rights | 20 | Authorize Board to Exclude Preemptive Rights from Share Issuances for General Corporate Purposes | For | G | |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | of resolu | Rationale for E&S resolutions and/or comments |
|---------------------------|----------------|--------------|-----------------|--------------|--------------|---|--------------------|--|---------------------|--------------|---|
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Eliminate Preemptive Rights | 21 | Authorize Board to Exclude Preemptive Rights from Share Issuances for Acquisition or Specified Capital Investment Purposes | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Authorize Share Repurchase Program | 22 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | G | |
| Unilever NV | Netherlands | NL0000388619 | Annual | 30-Apr-20 | Management | Approve Reduction in Share Capital | 23 | Approve Reduction in Share Capital through Cancellation of Ordinary Shares | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Accept Financial Statements and Statutory Reports | 1 | Accept Financial Statements and Statutory Reports | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 2 | Approve Remuneration Report | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 3 | Re-elect Nils Andersen as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 4 | Re-elect Laura Cha as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 5 | Re-elect Vittorio Colao as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 6 | Re-elect Dr Judith Hartmann as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 7 | Re-elect Alan Jope as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 8 | Re-elect Andrea Jung as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 9 | Re-elect Susan Kilsby as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 10 | Re-elect Strive Masiyiwa as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 11 | Re-elect Youngme Moon as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 12 | Re-elect Graeme Pitkethly as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 13 | Re-elect John Rishton as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Elect Director | 14 | Re-elect Feike Sijbesma as Director | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Ratify Auditors | 15 | Reappoint KPMG LLP as Auditors | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Authorize Board to Fix Remuneration of External Auditor(s) | 16 | Authorise Board to Fix Remuneration of Auditors | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Approve Political Donations | 17 | Authorise EU Political Donations and Expenditure | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | 18 | Authorise Issue of Equity | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | 19 | Authorise Issue of Equity without Pre-emptive Rights | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | | Management | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | 20 | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Authorize Share Repurchase Program | 21 | Authorise Market Purchase of Ordinary Shares | For | G | |
| Unilever Plc | United Kingdom | GB00B10RZP78 | Annual | 29-Apr-20 | Management | Authorize the Company to Call EGM with Two Weeks Notice | 22 | Authorise the Company to Call General Meeting with Two Weeks' Notice | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | | Management | Elect Director | 1a | Elect Director Andrew H. Card, Jr. | For | G | · |
| Union Pacific Corporation | USA | US9078181081 | Annual | | Management | Elect Director | 1b | Elect Director William J. DeLaney | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1c | Elect Director David B. Dillon | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1d | Elect Director Lance M. Fritz | Against | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1e | Elect Director Deborah C. Hopkins | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1 f | Elect Director Jane H. Lute | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1g | Elect Director Michael R. McCarthy | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1h | Elect Director Thomas F. McLarty, III | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1i | Elect Director Bhavesh V. Patel | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1j | Elect Director Jose H. Villarreal | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Management | Elect Director | 1k | Elect Director Christopher J. Williams | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | | Management | Ratify Auditors | 2 | Ratify Deloitte & Touche LLP as Auditors | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | G | |
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Share Holder | Require Independent Board Chairman | 4 | Require Independent Board Chairman | Against | G | |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | of resolu tion | Rationale for E&S resolutions and/or comments |
|---------------------------------|---------|--------------|-----------------|--------------|--------------|---|--------------------|--|---------------------|----------------------|---|
| Union Pacific Corporation | USA | US9078181081 | Annual | 14-May-20 | Share Holder | Report on Climate Change | 5 | Report on Climate Change | Against | E | The company has met at least part of the proponent's request and has committed to meeting all of the proponent's request by the end of the year. Therefore, we find this request to be substantially implemented. |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Receive Financial Statements and Statutory Reports (Non-Voting) | 1 | Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting) | | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Approve Allocation of Income and Dividends | 2 | Approve Allocation of Income and Dividends of EUR 1.15 per Share | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Approve Discharge of Management Board | 3 | Approve Discharge of Management Board for Fiscal 2017 | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Approve Discharge of Management Board | 4 | Approve Discharge of Management Board for Fiscal 2018 | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Approve Discharge of Management Board | 5 | Approve Discharge of Management Board for Fiscal 2019 | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Approve Discharge of Supervisory Board | 6 | Approve Discharge of Supervisory Board for Fiscal 2019 | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Ratify Auditors | 7 | Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal 2020 | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Elect Supervisory Board Member | 8.1 | Elect Werner Brinker to the Supervisory Board | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Elect Supervisory Board Member | 8.2 | Elect Bernhard Guenther to the Supervisory Board | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Elect Supervisory Board Member | 8.3 | Elect Klaus-Dieter Maubach to the Supervisory Board | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Elect Supervisory Board Member | 8.4 | Elect Sirpa-Helena Sormunen to the Supervisory Board | For | G | |
| Uniper SE | Germany | DE000UNSE018 | Annual | 20-May-20 | Management | Elect Supervisory Board Member | 8.5 | Elect Tiina Tuomela to the Supervisory Board | For | G | |
| Uni-President Enterprises Corp. | Taiwan | TW0001216000 | Annual | 24-Jun-20 | Management | Accept Financial Statements and Statutory Reports | 1 | Approve Business Report and Financial Statements | For | G | |
| Uni-President Enterprises Corp. | Taiwan | TW0001216000 | Annual | 24-Jun-20 | Management | Approve Allocation of Income and Dividends | 2 | Approve Plan on Profit Distribution | For | G | |
| Uni-President Enterprises Corp. | Taiwan | TW0001216000 | Annual | 24-Jun-20 | Management | Approve/Amend Regulations on General Meetings | 3 | Amend Rules and Procedures Regarding Shareholder's General Meeting | For | G | |
| Uni-President Enterprises Corp. | Taiwan | TW0001216000 | Annual | 24-Jun-20 | Management | Allow Directors to Engage in Commercial Transactions with the Company and/or Be Involved with Other Companies | 4 | Approve Deletion of the Non-competition Promise Ban Imposed Upon the Company's Directors According to the Article 209 of Company Act | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | 20-May-20 | Management | Elect Director | 1a | Elect Director Carolyn Corvi | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1b | Elect Director Barney Harford | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1c | Elect Director Michele J. Hooper | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1d | Elect Director Walter Isaacson | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1e | Elect Director James A. C. Kennedy | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1f | Elect Director J. Scott Kirby | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1g | Elect Director Oscar Munoz | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1h | Elect Director Edward M. Philip | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1i | Elect Director Edward L. Shapiro | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1j | Elect Director David J. Vitale | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | | Management | Elect Director | 1k | Elect Director James M. Whitehurst | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | 20-May-20 | Management | Ratify Auditors | 2 | Ratify Ernst & Young LLP as Auditors | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | 20-May-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | 20-May-20 | Share Holder | Provide Right to Act by Written Consent | 4 | Provide Right to Act by Written Consent | For | G | |
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | 20-May-20 | Share Holder | Political Lobbying Disclosure | 5 | Report on Lobbying Payments and Policy | For | G | |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | of resolu | Rationale for E&S resolutions and/or comments |
|---|-----------|------------------------------|-----------------|--------------|--------------------------|--|--------------------|--|---------------------|--------------|--|
| United Airlines Holdings, Inc. | USA | US9100471096 | Annual | 20-May-20 | Share Holder | Report on Climate Change | 6 | Report on Global Warming-Related Lobbying Activities | For | E | The company and its shareholders are likely to benefit from a review of how the company's and its trade associations' lobbying positions align with the Paris Agreement, especially in light of the increasing risks to the company related to climate change. |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Accept Financial Statements and Statutory Reports | 1 | Adopt Financial Statements and Directors' and Auditors' Reports | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Approve Dividends | 2 | Approve Final and Special Dividend | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Approve Remuneration of Directors and/or Committee Members | 3 | Approve Directors' Fees | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Approve Auditors and Authorize Board to Fix Their Remuneration Auditors | 4 | Approve Ernst & Young LLP as Auditors and Authorize Directors to Fix Their Remuneration | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Elect Director | 5 | Elect Wee Ee Cheong as Director | Against | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Elect Director | 6 | Elect Alexander Charles Hungate as Director | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Elect Director | 7 | Elect Michael Lien Jown Leam as Director | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Elect Director | 8 | Elect Steven Phan Swee Kim as Director | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | 9 | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | G | |
| United Overseas Bank Limited (Singapore) | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Approve Stock Dividend Program | 10 | Approve Issuance of Shares Pursuant to the UOB Scrip Dividend Scheme | For | G | |
| United Overseas Bank Limited | Singapore | SG1M31001969 | Annual | 5-Jun-20 | Management | Authorize Share Repurchase Program | 11 | Authorize Share Repurchase Program | For | G | |
| (Singapore) United Parcel Service, Inc. | USA | US9113121068 | Annual | 14-May-20 | Management | Elect Director | 1a | Elect Director David P. Abney | Against | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | | Management | Elect Director | 1b | Elect Director Rodney C. Adkins | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | · · | Management | Elect Director | 1c | Elect Director Michael J. Burns | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | | Management | Elect Director | 1d | Elect Director William R. Johnson | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | | Management | Elect Director | 10 1e | Elect Director William R. Johnson | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | | Management | Elect Director | 16 1f | Elect Director Rudy H.P. Markham | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | | Management | Elect Director | 1g | Elect Director Rudy H.F. Markhain Elect Director Franck J. Moison | For | G | |
| | USA | US9113121068 | Annual | | Management | | | 1 | | G | |
| United Parcel Service, Inc. United Parcel Service, Inc. | USA | US9113121068 US9113121068 | Annual | | | Elect Director Elect Director | 1h 1i | Elect Director Clark 'Sandy' T. Randt, Jr. Elect Director Christiana Smith Shi | For For | G | |
| United Parcel Service, Inc. United Parcel Service, Inc. | USA | US9113121068 US9113121068 | Annual | | Management Management | Elect Director | 1i | Elect Director Christiana Smith Shi Elect Director John T. Stankey | For | G | |
| | USA | US9113121068 US9113121068 | Annual | | | | 1j 1k | · | | G | |
| United Parcel Service, Inc. | USA | US9113121068 US9113121068 | | | Management | Elect Director | | Elect Director Carol B. Tome | For | _ | |
| United Parcel Service, Inc. United Parcel Service, Inc. | USA | US9113121068 US9113121068 | Annual | | Management Management | Elect Director Advisory Vote to Ratify Named Executive | 1l 2 | Elect Director Kevin Warsh Advisory Vote to Ratify Named Executive Officers' | For | G | |
| | | | | | | Officers'Compensation | | Compensation | _ | | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | | Management | Ratify Auditors | 3 | Ratify Deloitte & Touche LLP as Auditors | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | 14-May-20 | Share Holder | Political Lobbying Disclosure | 4 | Report on Lobbying Payments and Policy | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | 14-May-20 | Share Holder | Approve Recapitalization Plan for all Stock to Have One-vote per Share | 5 | Approve Recapitalization Plan for all Stock to Have Onevote per Share | For | G | |
| United Parcel Service, Inc. | USA | US9113121068 | Annual | 14-May-20 | Share Holder | Report on Climate Change | 6 | Report on Climate Change | For | E | Shareholders would benefit from additional information on how the company plans to align its operations with the Paris Agreement goals and manage its GHG emissions and climate related risks. |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | l ype of resolu tion | Rationale for E&S resolutions and/or comments |
|---------------------------------------|------------|--------------|------------------|--------------|--------------|--|--------------------|--|---------------------|-------------------------------|---|
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1a | Elect Director Richard T. Burke | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1b | Elect Director Timothy P. Flynn | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1c | Elect Director Stephen J. Hemsley | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1d | Elect Director Michele J. Hooper | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1e | Elect Director F. William McNabb, III | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1f | Elect Director Valerie C. Montgomery Rice | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1g | Elect Director John H. Noseworthy | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1h | Elect Director Glenn M. Renwick | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1i | Elect Director David S. Wichmann | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Elect Director | 1j | Elect Director Gail R. Wilensky | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Ratify Auditors | 3 | Ratify Deloitte & Touche LLP as Auditors | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Management | Approve Omnibus Stock Plan | 4 | Approve Omnibus Stock Plan | For | G | |
| UnitedHealth Group Incorporated | USA | US91324P1021 | Annual | 1-Jun-20 | Share Holder | Amend Articles Board-Related | 5 | Require Shareholder Approval of Bylaw Amendments Adopted by the Board of Directors | Against | G | |
| Universal Display Corporation | USA | US91347P1057 | Annual | 18-Jun-20 | Management | Elect Director | 1A | Elect Director Steven V. Abramson | For | G | |
| Universal Display Corporation | USA | US91347P1057 | Annual | | Management | Elect Director | 1B | Elect Director Cynthia J. Comparin | For | G | |
| Universal Display Corporation | USA | US91347P1057 | Annual | 18-Jun-20 | Management | Elect Director | 1C | Elect Director Richard C. Elias | For | G | |
| Universal Display Corporation | USA | US91347P1057 | Annual | 18-Jun-20 | Management | Elect Director | 1D | Elect Director Elizabeth H. Gemmill | For | G | |
| | USA | US91347P1057 | Annual | | Management | Elect Director | 1E | Elect Director C. Keith Hartley | For | G | |
| | USA | US91347P1057 | Annual | | Management | Elect Director | 1F | Elect Director Celia M. Joseph | For | G | |
| | USA | US91347P1057 | Annual | | Management | Elect Director | 1G | Elect Director Lawrence Lacerte | For | G | |
| | USA | US91347P1057 | Annual | | Management | Elect Director | 1H | Elect Director Sidney D. Rosenblatt | For | G | |
| | USA | US91347P1057 | Annual | | Management | Elect Director | 11 | Elect Director Sherwin I. Seligsohn | For | G | |
| | USA | US91347P1057 | Annual | | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | G | |
| Universal Display Corporation | USA | US91347P1057 | Annual | 18-lun-20 | Management | Ratify Auditors | 3 | Ratify KPMG LLP as Auditors | For | G | |
| | USA | US91529Y1064 | Annual | | Management | Elect Director | 1a | Elect Director Theodore H. Bunting, Jr. | For | G | |
| · | USA | US91529Y1064 | Annual | | Management | Elect Director | 1b | Elect Director Frieddore H. Bunting, Jr. | For | G | |
| · | USA | US91529Y1064 | | | Management | | | | For | G | |
| | USA | | Annual Annual | | | Elect Director | 1c 1d | Elect Director Susan D. DeVore | | G | |
| · | | US91529Y1064 | | | Management | Elect Director | | Elect Director Joseph J. Echevarria | For | | |
| · | USA USA | US91529Y1064 | Annual | | Management | Elect Director | 1e | Elect Director Cynthia L. Egan | For | G | |
| | | US91529Y1064 | Annual | | Management | Elect Director | 1f | Elect Director Kevin T. Kabat | For | _ | |
| | USA | US91529Y1064 | Annual | | Management | Elect Director | 1g | Elect Director Timothy F. Keaney | For | G | |
| · · · · · · · · · · · · · · · · · · · | USA | US91529Y1064 | Annual | | Management | Elect Director | 1h | Elect Director Gloria C. Larson | For | G | |
| | USA | US91529Y1064 | Annual | | Management | Elect Director | 1i | Elect Director Richard P. McKenney | For | G | |
| | USA | US91529Y1064 | Annual | | Management | Elect Director | 1j | Elect Director Ronald P. O'Hanley | For | G | |
| Unum Group | USA | US91529Y1064 | Annual | 28-May-20 | Management | Elect Director | 1k | Elect Director Francis J. Shammo | For | G | |
| · | USA | US91529Y1064 | Annual | | Management | Advisory Vote to Ratify Named Executive Officers'Compensation | 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | G | |
| Unum Group | USA | US91529Y1064 | Annual | 28-May-20 | Management | Ratify Auditors | 3 | Ratify Ernst & Young LLP as Auditor | For | G | |
| Unum Group | USA | US91529Y1064 | Annual | 28-May-20 | Management | Approve Qualified Employee Stock Purchase Plan | 4 | Approve Qualified Employee Stock Purchase Plan | For | G | |
| Unum Group | USA | US91529Y1064 | Annual | 28-May-20 | Management | Approve Non-Qualified Employee Stock Purchase Plan | 5 | Approve Nonqualified Employee Stock Purchase Plan | For | G | |

| Company Name | Country | Primary ISIN | Meeting Type | Meeting Date | Proponent | Proposal Code Description | Proposal Number | Proposal Text | Vote Instruction | I ype of resolu tion | Rationale for E&S resolutions and/or comments |
|--|---------|--------------|-----------------|--------------|--------------|--|--------------------|--|---------------------|-------------------------------|---|
| Usinas Siderurgicas de Minas Gerais SA-Usiminas | Brazil | BRUSIMACNPA6 | Annual | 28-Apr-20 | Management | As a Preferred Shareholder, Would You like to Request a Separate Minority Election of a Member of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law? | 1 | As a Preferred Shareholder, Would You like to Request a Separate Minority Election of a Member of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law? | For | G | |
| Usinas Siderurgicas de Minas Gerais SA-Usiminas | Brazil | BRUSIMACNPA6 | Annual | 28-Apr-20 | Share Holder | Elect Director Nominated by Preferred Shareholders | 2 | Elect Tiago Curi Isaac as Director and Adriana de Andrade Sole as Alternate Appointed by Preferred Shareholder | Against | G | |
| Usinas Siderurgicas de Minas Gerais SA-Usiminas | Brazil | BRUSIMACNPA6 | Annual | 28-Apr-20 | Management | In Case Neither Class of Shares Reaches the Minimum Quorum Required by the Brazilian Corporate Law to Elect a Board Representative in Separate Elections, Would You Like to Use Your Votes to Elect the Candidate with More Votes to Represent Both Classes? | 3 | In Case Neither Class of Shares Reaches the Minimum Quorum Required by the Brazilian Corporate Law to Elect a Board Representative in Separate Elections, Would You Like to Use Your Votes to Elect the Candidate with More Votes to Represent Both Classes? | For | G | |
| Usinas Siderurgicas de Minas Gerais SA-Usiminas | Brazil | BRUSIMACNPA6 | Annual | 28-Apr-20 | Share Holder | Appoint Internal Statutory Auditor(s) Nominated by Preferred Shareholders [and Approve Auditor's/Auditors' Remuneration] | 4 | Elect Fabricio Santos Debortoli as Fiscal Council Member and Wilsa Figueiredo as Alternate Appointed by Preferred Shareholder | For | G | |